


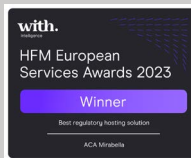

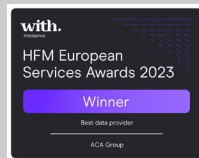










Launch, Grow, and Protect Your Business

- » Boost the power of your governance, risk, and compliance programs
- » Balance your monetary and time budgets
- » Turn to the best people, process, and technology

 <p>ACA is a RegTech100 company</p> <p>REGTECH100 2023</p> <p>The World's Most Innovative RegTech Companies</p> <p>www.RegTech100.com</p>	 <p>ACA is an AIFinTech100 company</p> <p>AIFINTECH100 2023</p> <p>The World's Most Innovative AI Technology Companies in Financial Services</p> <p>www.AIFinTech100.com</p>	 <p>A-Team Innovation Awards 2023</p> <p>Winner ACA Group Most Innovative Trade Surveillance Solution</p> <p>A-TEAM GROUP</p>	 <p>with.</p> <p>HFM European Services Awards 2023</p> <p>Winner</p> <p>Best regulatory hosting solution</p> <p>ACA Mirabella</p>	 <p>with.</p> <p>HFM European Services Awards 2023</p> <p>Winner</p> <p>Best regtech solution</p> <p>ACA Group - ComplianceAlpha™</p>	 <p>with.</p> <p>HFM European Services Awards 2023</p> <p>Winner</p> <p>Best 988 provider</p> <p>ACA Group</p>		
 <p>JDSUPRA READERS' CHOICE TOP AUTHOR 2023</p>	 <p>JDSUPRA READERS' CHOICE TOP FIRM 2023</p>	 <p>A-Team Innovation Awards 2023</p> <p>WINNER</p> <p>ACA Group</p> <p>Most innovative trade & transaction reporting initiative</p>	 <p>RegTech Insight Awards 2022 Europe</p> <p>WINNER</p> <p>ACA Group</p> <p>Best Solution for Managing Operational Risk</p>	 <p>ACA is an ESGFinTech100 company</p> <p>ESGFINTECH100 2022</p> <p>The World's Most Innovative ESG Tech Companies for Financial Services</p> <p>www.ESGFinTech100.com</p>	 <p>etfexpress US AWARDS 2022</p> <p>WINNER</p> <p>Best ETF Distributor</p> <p>ACA Foreside</p>	 <p>etfexpress US AWARDS 2022</p> <p>WINNER</p> <p>Best ETF Regulatory/Compliance Adviser</p> <p>ACA Foreside</p>	 <p>etfexpress US AWARDS 2022</p> <p>WINNER</p> <p>Best ETF Compliance Team for Mutual Fund to ETF Conversions</p> <p>ACA Foreside</p>

Partnering with ACA Group

Financial services firms' worldwide partner with ACA Group to help launch, grow, and protect their business. We provide tailored risk management solutions focused on the areas of compliance, cybersecurity, and privacy, ESG, performance, and financial crimes that include:

Advisory

Make decisions with confidence.

Managed Services

Get more done while easing the burden of day-to-day tasks.

Technology Solutions

Track activity, identify risk, and streamline processes.

Analytics and Insights

Stay informed and ahead of the curve.

Who Is On Our Team?

Our employees include former SEC, FCA, FINRA, CFTC, NFA, OCC, DOL, and state regulators. In addition, our team includes former senior compliance managers, c-suite team members, auditors, cybersecurity experts and technology risk, technology, GIPS® compliance and performance, and ESG specialists from leading financial and professional institutions.

Our consultants have extensive training and maintain industry certifications from CIPM, ACAMS, CISA, CISP, CISM, CFA, and others.

Our Solutions

ACA is a leading global provider of governance, risk, and compliance advisory services and technology solutions designed to enhance our clients' operational and business processes. We partner with our financial services clients to help them mitigate their regulatory, operational, and reputational risks.

Our solutions integrate expert advisory support, on-demand managed services, insightful analytics and oversight, and our scalable ComplianceAlpha® RegTech platform to help over 6,000 leading financial services firms protect and grow their business.

Compliance Advisory

Strengthen your compliance program with our global team of professionals.

Managed Services

Maximize your firm's time and efficiency with our specialized compliance analysts who can support with strategic and day-to-day tasks.

Performance Services

Stay in compliance with the GIPS® standards and investment performance rules with the world's largest team of GIPS standards verifiers.

ESG Advisory, Data, & Analytics

Build a compliant ESG program with our dedicated advisory practice - and access values-based tools and data to assess and grow your impact with our ESG analytics software.

ACA ComplianceAlpha® Regulatory Technology Platform

Build a scalable and resilient compliance program with our ComplianceAlpha® RegTech solutions platform

ACA Aponix® Cybersecurity, Privacy, & Risk

Build cyber and operational resilience across your firm with our team of cybersecurity and technology risk experts.

ACA Foreside Distribution Solutions

Enable compliant distribution of your products with our distribution solutions such as legal underwriting, registered rep licensing, and DTCC/ NSCC fund sponsorship services.

ACA Mirabella Regulatory Hosting

Get your firm up and running quickly with our regulatory hosting support services in Europe.

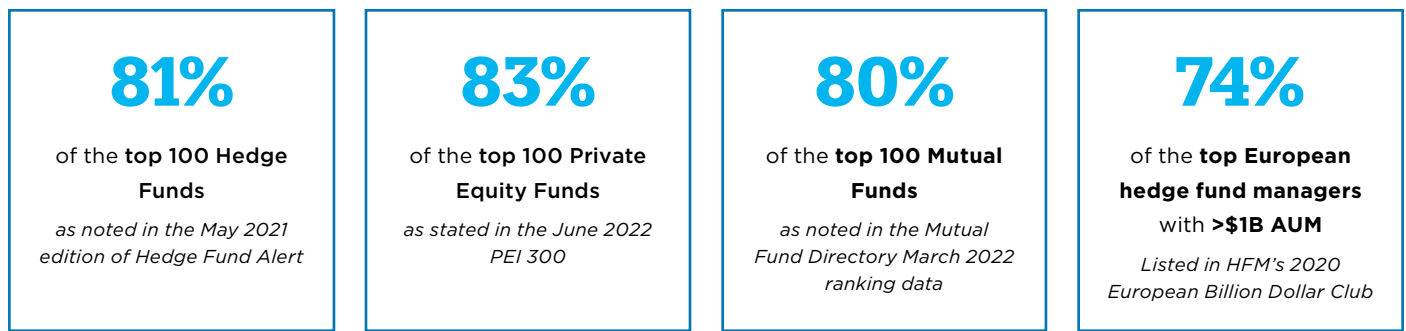
Trust in ACA

With over 20 years' experience in the compliance industry, ACA Group is synonymous with quality services and solutions. Feel confident knowing that our team has the relevant and current governance, risk, compliance, and in-house expertise to support you through every step of the process.

The ACA Advantage

- » **We understand your challenges and what you need to protect your business.** We provide unique insights to clients rather than off-the-shelf solutions.
- » **We have our finger on the pulse of today’s evolving risk and regulatory environment.** Our perspective is informed by the insights, trends, and benchmarking data gathered from over 6,000 governance, risk, and compliance clients.
- » **We can grow with you as your business grows.** Our innovative approach integrates expert advisory, on-demand managed services, and cutting-edge technology to help our clients build scalable and resilient compliance programs.
- » **We hold ourselves accountable for excellence in everything we do.** We pride ourselves on our thorough and rigorous support of our clients across all asset classes. We are committed to delivering quality, high-touch service and solutions tailored to your business.

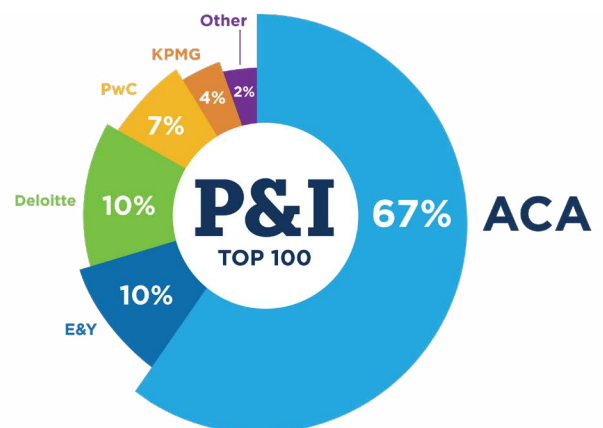
Our Client Base



Who We Work With

- » Investment managers and advisers
- » Private markets firms, including private equity, private credit, venture capital, infrastructure and real estate fund managers and their portfolio companies
- » Hedge fund managers
- » Commodity trading advisors
- » Investment companies, including mutual funds, ETFs, interval / tender offer funds and business development companies
- » Broker-dealers
- » Domestic and international banks and their affiliates

ACA has the largest global market share of verified firms in P&I’s Top 100 list.



For more information

Contact us at www.info.acaglobal.com
 Or call us at US: +1 (212) 951-1030 | UK: +44 (0) 20 7042 0500